FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Attorney Docket No. 16930-001020	Serial No.: 08/801,092
	Applicant: DOUGLAS ANTELMAN et al.	
	Filing Date: 02/14/97	Group: Unknown

Reference Designation — **U.S. PATENT DOCUMENTS**

Examiner Initial	Document No.	Date	Name	Class	Sub-class	Filing Date (If Appropriate)
L.C AA	4,235,871	11/25/80	Papahadjopoulos et al.	A61K 9	10	
___ AB	4,501,728	02/26/85	Geho et al.	A61K 9	50	
___ AC	4,837,028	06/06/89	Allen	A61K 9	00	
___ AD	5,019,369	05/28/91	Presant et al.	A61K 43	00	
___ AE						
___ AF						
___ AG						
___ AH						
___ AI						
___ AJ						
___ AK						
___ AL						

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub-class	Translation (yes/no)
L.C AM	WO 92/06180	04/16/92	PCT	C12N 7	00	No
___ AN	WO 93/14188	07/22/93	PCT	C12N 5	00	No
___ AO	WO 93/19768	10/14/93	PCT	A61K 37	00	No
___ AP	WO 93/20221	10/14/93	PCT	C12N 15	86	No
___ AQ	WO 94/06922	03/31/94	PCT	C12N 15	87	No
___ AR	WO 94/06923	03/31/94	PCT	C12N 15	87	No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

L.C AS	Adams, P.D. et al., "Transcriptional control by E2F," Cancer Biology 6:99-108 (1995)
___ AT	Adnane, J. et al., "The Retinoblastoma Susceptibility Gene Product Represses Transcription When Directly Bound to the Promoter," J. Biol. Chem. 270(15):8837-8843 (1995)
___ AU	Antelman, D. et al., "Inhibition of tumor cell proliferation *in vitro* and *in vivo* by exogenous $p110^{RB}$, the retinoblastoma tumor suppressor protein," Oncogene 10:697-704 (1995)

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Attorney Docket No. 16930-001020	Serial No.: 08/801,092
	Applicant: DOUGLAS ANTELMAN et al.	
	Filing Date: 02/14/97	Group: Unknown

AV	Arteaga, C.L. et al., "Tissue-targeted Antisense c-*fos* Retroviral Vector Inhibits Established Breast Cancer Xenografts in Nude Mice," Cancer Research 56:1098-1103 (1996)
AW	Babajko, S. et al., "Interplay of the Liver-Enriched *Transacting* Factors, DBP and HNF1, in the Transactivation of Human IGFBP-1 Promoter," Biochem. & Biophys. Research Commun. 196(1):480-486 (1993)
AX	Banas, B. et al., "Analysis of the promoter of the human prostatic acid phosphatase gene," Biochim. Biophy. Acta 1217:188-194 (1994)
AY	Beijersbergen, R.L. et al., "E2F-4, a new member of the E2F gene family, has oncogenic activity and associates with p107 in vivo," Genes & Devel. 8:2680-2690 (1994)
AZ	Bingle, C.D. et al., "Interaction of CCAAT/enhancer-binding protein α and β with the rat caeruloplasmin gene promoter," Biochem. J. 294:473-479 (1993)
BA	Bookstein, R. et al., "Suppression of Tumorigenicity of Human Prostate Carcinoma Cells by Replacing a Mutated *RB* Gene," Science 247:712-715 (1990)
BB	Buck, V. et al., "Molecular and functional characterisation of E2F-5, a new member of the E2F family," Oncogene 11:31-38 (1995)
BC	Chang, M.W. et al., "Cytostatic Gene Therapy for Vascular Proliferative Disorders with a Constitutively Active Form of the Retinoblastoma Gene Product," Science 267:518-522 (1995)
BD	Clowes, A.W. et al., "Kinetics of Cellular Proliferation after Arterial Injury," Lab. Invest. 49(3) 327-333 (1983)
BE	Cox, G.A. et al., "Overexpression of dystrophin in transgenic *mdx* mice eliminates dystrophic symptoms without toxicity," Nature 364:725-729 (1993)
BF	Curiel, D.T. et al., "Adenovirus enhancement of transferrin-polylysine-mediated gene delivery," Proc. Natl. Acad. Sci. U.S.A. 88:8850-8854 (1991)
BG	Dalesandro, J. et al., "Gene Therapy for Donor Hearts: Ex vivo Liposome-Mediated Tranfection," J. Thoracic and Cardiovascular Surgery 111(2):416-422 (1996)
BH	Dobrowolski, S.F. et al., "An E2F dominant negative mutant blocks E1A induced cell cycle progression," Oncogene 9:2605-2612 (1994)
BI	Dowdy, S.F. et al., "Physical Interaction of the Retinoblastoma Protein with Human D Cyclins," Cell 73:499-511 (1993)
BJ	Dusetti, N.J. et al., "Structural Organization of the Gene Encoding the Rat Pancreatitis-associated Protein," J. Biol. Chem. 268(19):14470-14475 (1993)
BK	Eisenberger, C.L. et al., "Differential Regulation of the Rat Phosphoenolpyruvate Carboxykinase Gene Expression in Several Tissues of Transgene Mice," Mol. Cell Biol. 12(3):1396-1403 (1992)
BL	Fontaine, R.N. et al., "Structure of the Rat Pancreatic Cholesterol Esterase Gene," Biochemistry 30:7008-7014 (1991)

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Attorney Docket No. 16930-001020	Serial No.: 08/801,092
	Applicant: DOUGLAS ANTELMAN et al.	
	Filing Date: 02/14/97	Group: Unknown

LS	BM	Forss-Petter, S. et al., "Transgenic Mice Expressing β-Galactosidase in Mature Neurons under Neuron-Specific Enolase Promoter Control," Neuron 5:187-197 (1990)
	BN	French, B.A. et al., "Percutaneous Transluminal In Vivo Gene Transfer by Recombinant Adenovirus in Normal Porcine Coronary Arteries, Atherosclerotic Arteries, and Two Models of Coronary Restenosis," Circulation 90(5):2402-2413 (1994)
	BO	Friedman, J.M. et al., "Cellular Promoters Incorporated into the Adenovirus Genome: Cell Specificity of Albumin and Immunoglobulin Expression," Mol. Cell Biol. 6(11):3791-3797 (1986)
	BP	Ginsberg, D. et al., "E2F-4, a new member of the E2F transcription factor family, interacts with p107," Genes & Devel. 8:2665-2679 (1994)
	BQ	Gorman, C.M. et al., "Recombinant Genomes Which Express Chloramphenicol Acetyltransferase in Mammalian Cells," Mol. Cell Biol. 2(9):1044-1051 (1982)
	BR	Hanson, R.D. et al., "The 5'-Flanking Region of the Human CGL-1/Granzyme B Gene Targets Expression of a REporter Gene to Activated T-lymphocytes in Transgenic Mice," J. Biol. Chem. 266(36):24433-24438 (1991)
	BS	Hatzoglou, M. et al., "Hepatic Gene Transfer in Animals Using REtroviruses Containing the Promoter from the Gene for Phosphoenolpyruvate Carboxykinase," J. Biol. Chem. 265(28):17285-17293 (1990)
	BT	Helftenbein, G. et al., "Expression of the Uteroglobin Promoter in Epithelial Cell Lines from Endometrium," Annals New York Acad. Sci. Bulletti C. et al., eds., New York Academy of Sciences, New York, 622:69-79 (1991)
	BU	Hemstrom, C. et al., "Gene Product of Region E4 of Adenovirus Type 5 Modulates Accumulation of Certain Viral Polypeptides," J. Virol. 62(9):3258-3264 (1988)
	BV	Hiebert, S.W., "Regions of the Retinoblastoma Gene product Required for Its Interaction with the E2F Transcription Factor Are Necessary for E2 Promoter Repression and pRb-Mediated Growth Suppression," Mol. Cell Biol. 13(6):3384-3391 (1993)
	BW	Houchins, J.P. et al., "Genomic structure of *nkg5*, a human NK and T cell-specific activation gene," Immunogenetics 37:102-107 (1993)
	BX	Houglum, K. et al., "LAP (NF-IL6) Transactivates the Collagen α_1(I) Gene from a 5' Regulatory Region," J. Clin. Invest. 94:808-814 (1994)
	BY	Huang, S. et al., "A cellular protein that competes with SV40 T antigen for binding to the retinoblastoma gene product," Nature 350:160-162 (1991)
	BZ	Huber, B.E. et al., "Retroviral-mediated gene therapy for the treatment of hepatocellular carcinoma: An innovative approach for cancer therapy," Proc. Natl. Acad. Sci. U.S.A., 88:8039-8043 (1991)
✓	CA	Ilantzis, C. et al., "Identification of a Human cancer Related Organ-Specific Neoantigen," Microbiol. Immunol. 37(2):119-128 (1993)

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Attorney Docket No. 16930-001020	Serial No.: 08/801,092
	Applicant: DOUGLAS ANTELMAN et al.	
	Filing Date: 02/14/97	Group: Unknown

CB	Ivey-Hoyle, M. et al., "Cloning and Characterization of E2F-2, a Novel Protein with the Biochemical Properties of Transcripion Factor E2F," Mol. Cell Biol. 13(12):7802-7812 (1993)
CC	Jahroudi, N. et al., "Endothelial-Cell-Specific Regulation of von Willebrand Factor Gene Expression," Mol. Cell Biol. 14(2):999-1008 (1994)
CD	Kaspar, F. et al., "Characterization of Two Point Mutations in the Androgen REceptor Gene of Patients with Perineoscrotal Hypospadia," J. Steroid Biochem. Molec. Biol. 47(1-6):127-135 (1993)
CE	Kaye, F.J. et al., "A single amino acid substitution results in a retinoblastoma protein defective in phosphorylation and oncoprotein binding," Proc. Natl. Acad. Sci. U.S.A. 87:6922-6926 (1990))
CF	Keller, S.A. et al., "Regulation of amylase gene expression in diabetic mice is mediated by a *cis*-acting upstream element close to the pancreas-specific enhancer," Genes & Devel. 4:1316-1321 (1990)
CG	Koc, O.N. et al., "Transfer of Drug Resistance Genes Into Hematopoietic Progenitors to Improve Chemotherapy Tolerance," Seminars in Oncology 23(1):46-65 (1996)
CH	Krek, W. et al., "Negative REgulation of the Growth-Promoting Transcription Factor E2F-1 by a Stably Bound Cyclin A-Dependent Protein Kinase," Cell 78:161-172 (1994)
CI	Krek, W. et al., "Cyclin A-Kinase Regulation of E2F-1 DNA Binding Function Underlies Suppression of an S Phase Checkpoint," Cell 83:1149-1158 (1995)
CJ	Kruse, F. et al., "An endocrine-specific element is an integral component of an exocrine-specific pancreatic enhancer," Genes & Devel. 7:774-786 (1993)
CK	Lake, R.A. et al., "A 3' transcriptional enhancer regulates tissue-specific expression of the human CD2 gene," EMBO J. 9(10):3129-3136 (1990)
CL	Lee, W.H. et al., "The retinoblastoma susceptibility gene encodes a nuclear phosphoprotein associated with DNA binding activity," Nature 329:642-645 (1987)
CM	Lee, Y.H. et al., "Multiple, Functional DBP Sites on the promoter of the Cholesterol 7α-Hydroxylase P450 Gene, *CYP7*," J. Biol. Chem. 269(20):14681-14689 (1994)
CN	Li, S.P. et al., "*cis*-Acting Elements Responsible for Interleukin-6 Inducible C-reactive Protein Gene Expression," J. Biol. Chem. 265(7):4136-4142 (1990)
CO	Lilja, H., "Structure, function, and regulation of the enzyme activity of prostate-specific antigen," World J. Urol. 11:188-191 (1993)
CP	Lo, K. et al., "LyF-1, a Transcriptional Regulator That Interacts with a Novel Class of Promoters for Lymphocyte-Specific Genes," Mol. Cell Biol. 11(10):5229-5243 (1991)

FORM PTO-1449 (Modified)	Attorney Docket No. 16930-001020	Serial No.: 08/801,092
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Applicant: DOUGLAS ANTELMAN et al.	
	Filing Date: 02/14/97	Group: Unknown

CQ	Luskey, K.L., "Conservation of Promoter Sequence but Not Complex Intron Splicing Pattern in Human and Hamster genes for 3-Hydroxy-3-Methylglutaryl Coenzyme A Reductase," Mol. Cell Biol. 7(5):1881-1893 (1987)
CR	Makarov, S.S. et al., "Suppression of experimental arthritis by gene transfer of interleukin 1 receptor antagonist cDNA," Proc. Natl. Acad. Sci. U.S.A. 93:402-406 (1996)
CS	Maxwell, I.H. et al., "Expression of the Diphtheria Toxin A-Chain Coding Sequence under the Control of Promoters and Enhancers from Immunoglobulin Genes as a Means of Directing Toxicity to B-Lymphoid Cells," Cancer Res. 51:4299-4304 (1991)
CT	Mendelzon, D. et al., "The binding site for the liver-specific transcription factor Tf-LF1 and the TATA box of the human transferrin gene promoter are the only elements necessary to direct liver specific transcription *in vitro*," Nucl. Acids Res. 18(19):5717-5721 (1990)
CU	Nakano, Y. et al., "Transcriptional regulatory elements in the 5' upstream and first intron regions of the human smooth muscle (aortic type) α-actin-encoding gene," Gene 99:285-289 (1991)
CV	Nolet, S. et al., "Prostatic secretory protein PSP_{94}: gene organization and promoter sequence in Rhesus monkey and human," Biochim. Biophys. Acta 1089:247-249 (1991)
CW	Nolta, J.A. et al., "Transduction of pluripotent human hematopoietic stem cells demonstrated by clonal analysis after engraftment in immune-deficient mice," Proc. Natl. Acad. Sci. U.S.A. 93:2414-2419 (1996)
CX	Petropoulos, C.J. et al., "Using Avian Retroviral Vectors for Gene Therapy," J. Virol. 66(6):3391-3397 (1992)
CY	Plank, C. et al., "The Influence of Endosome-disruptive Peptides on Gene Transfer Using Synthetic Virus-like Gene Transfer Systems," J. Biol. Chem. 269(17):12918-12924 (1994)
CZ	Qin, X.Q. et al., "Identification of a growth suppression domain within the retinoblastoma gene product," Genes & Devel. 6:953-964 (1992)
DA	Raper, S.E. et al., "Safety and Feasibility of Liver-Directed *Ex Vivo* Gene Therapy for Homozygous Familial Hypercholesterolemia," Annals. of Surgery 223(2):116-126 (1996)
DB	Reddy, S. et al., "Structure of the Human Smooth Muscle α-Actin Gene," J. Biol. Chem. 265(3):1683-1687 (1990)
DC	Rice, D.A. et al., "Analysis of the Promoter Region of the Gene Encoding Mouse Cholesterol Side-chain Cleavage Enzyme," J. Biol. Chem. 265(20):11713-11720 (1990)
DD	Rosenthal, N., "Identification of Regulatory Elements of Cloned Genes with Functional Assays," Meth. of Enzymology 152:704-720 (1987)

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Attorney Docket No. 16930-001020	Serial No.: 08/801,092
	Applicant: DOUGLAS ANTELMAN et al.	
	Filing Date: 02/14/97	Group: Unknown

DE	Schwartz, M.L. et al., "Brain-specific Enhancement of the Mouse Neurofilament Heavy Gene Promoter *in Vitro*," J. Biol. Chem. 269(18):13444-13450 (1994)
DF	Sellers, W.R. et al., "A potent transrepression domain in the retinoblastoma protein induces a cell cycle arrest when bound to E2F sites," Proc. Natl. Acad. Sci. U.S.A. 92:11544-11548 (1995)
DG	Sharkey, R.M. et al., "Phase I Clinical Evaluation of a New Murin Monoclonal antibody (Mu-9) against Colon-Specific Antigen-p for Targeting Gastrointestinal Carcinomas," Cancer Supp. 73(3):864-877 (1994)
DH	Smith, J.R. et al., "Identification of Nucleotides Responsible for Enhancer Activity of Sterol Regulatory Element in Low Density Lipoprotein Receptor Gene," J. Biol. Chem. 265(4):2306-2310 (1990)
DI	Svensson, E.C. et al., "Organization of the β-Galactoside α2,6-Sialyltransferase Gene," J. Biol. Chem. 265(34):20863-20868 (1990)
DJ	Szoka, F. et al., "Comparative Properties and Methods of Preparation of Lipid Vesicles (Liposomes)," Ann. Rev. Biophys. Bioeng. 9:467-508 (1980)
DK	Talamonti, M.S. et al., "Increase in Activity and Level of $pp60^{c\text{-}src}$ in Progressive Stages of Human Colorectal Cancer," J. Clin. Invest. 91:53-60 (1993)
DL	Tamura, S. et al., "Sequence motif in control regions of the H^+/K^+ ATPase α and β subunit genes recognized by gastric specific nuclear proteins(s)," FEBS Lett. 298(2,3):137-141 (1992)
DM	Tanizawa, Y. et al., "Human Glucokinase Gene: Isolation, Structural Characterization, and Identification of a Microsatellite Repeat Polymorphism," Mol. Endocrin. 6(7):1070-1081 (1992)
DN	Thean, E.T. et al., "Serum human α-lactalbumin as a marker for breast cancer," Br. J. Cancer 61:773-775 (1990)
DO	Thimmappaya, B. et al., "Adenovirus VAI RNA Is Required for Efficient Translation of Viral mRNAs at Late Times after Infection," Cell 31:543-551 (1982)
DP	Vairo, G. et al., "Functional interaction between E2F-4 and p130: evidence for distinct mecahnisms underlying growth supression by different retinoblastoma protein family members," Genes & Devel. 9:869-881 (1995)
DQ	Weintraub, S.J. et al., "Retinoblastoma protein switches the E2F site from positive to negative element," Nature 358:259-261 (1992)
DR	Wen, S.F. et al., "Retinoblastoma protein monoclonal antibodies with novel charateristics," J. Immunol. Meth. 169:231-240 (1994)
DS	Willard, J.E. et al., "Genetic Modification of the Vessel Wall," Circulation 89(5):2190-2197 (1994)
DT	Wills, K.N. et al., "Development and Characterization of Recombinant Adenoviruses Encoding Human p53 for Gene Therapy of Cancer," Hum. Gene Therapy 5:1079-1088 (1994)

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Attorney Docket No. 16930-001020	Serial No.: 08/801,092
	Applicant: DOUGLAS ANTELMAN et al.	
	Filing Date: 02/14/97	Group: Unknown

DU	Wills, K.N. et al., "Gene therapy for hepatocellular carcinoma: Chemosensitivity conferred by adenovirus-mediated transfer of the HSV-1 thymidine kinase gene," Canc. Gene Therapy 2(3):191-197 (1995)
DV	Wu, C.L. et al., "In Vivo Association of E2F and DP Family Proteins," Mol. Cell Biol. 15(5):2536-2546 (1995)
DW	Wu, G.Y. et al., "Receptor-mediated Gene Delivery and Expression *in Vivo*," J. Biol. Chem. 263(29):14621-14624 (1988)
DX	Wu, K.J. et al., "Transactivation of Pancreas-Specific Gene Sequences in Somatic Cell Hybrids," Mol. Cell Biol. 11(9):4423-4430 (1991)
DY	Xu, G. et al., "Multiple members of the E2F transcription factor family are the products of oncogenes," Proc. Natl. Acad. Sci. U.S.A. 92:1357-1361 (1995)

EXAMINER [signature] DATE CONSIDERED 7/18/02

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1449.WP 4/95